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CONFIRMATION NO. 2317

SERIAL NUMBER 09/898,305	FILING DATE 06/29/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. E3879.0028
APPLICANTS Gregory D. Mills, Flanders, NJ; Robert Walder, Oradell, NJ; Alastair G. Crane, London, UNITED KINGDOM; Srivathsan Krishnasami, New York, NY; Roy S. McPherson, Essex, UNITED KINGDOM; Paul M. Ginsberg, Stamford, CT;				
** CONTINUING DATA ***** THIS APPLICATION IS A CIP OF 09/603,514 06/23/2000 <i>Yes SC</i>				
** FOREIGN APPLICATIONS ***** <i>none SC</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 08/20/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged Examiner's Signature <i>[Signature]</i> Initials	STATE OR COUNTRY NJ	SHEETS DRAWING 13	TOTAL CLAIMS 36	INDEPENDENT CLAIMS 3
ADDRESS 000002352 <i>Steven L. Weisburd</i> <i>Dickstein Shapiro Morin & Oshinsky LLP</i> <i>1177 Avenue of the Americas 41st Floor New York, NY 10036-2714</i>				
TITLE Credit handling in an anonymous trading system				
FILING FEE RECEIVED 1776	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			
				<input type="checkbox"/> All Fees
				<input type="checkbox"/> 1.16 Fees (Filing)
				<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
				<input type="checkbox"/> 1.18 Fees (Issue)
				<input type="checkbox"/> Other _____
				<input type="checkbox"/> Credit